

DEC 27 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,112	10/04/2001	Gerald Dorros	AMS-011C

1473
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NEW YORK, NY 10020-1105

CONFIRMATION NO. 4384

FORMALITIES LETTER



OC000000007041097

Date Mailed: 11/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

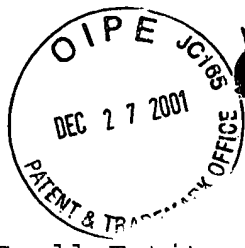
To 1
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2001 MBERNE 00000028 09972112

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Docket No. AMS-011C

For A Small Entity

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gerald NMI Dorros et al.
Application No. : 09/972,112
Confirmation No.: 4384
Filed: : October 4, 2001
For: : APPARATUS AND METHODS FOR TREATING STROKE AND
CONTROLLING CEREBRAL FLOW CHARACTERISTICS
Group Art Unit : 3739

Hon. Commissioner
for Patents
Box Missing Parts
c/o P. O. Box 2327
Arlington, Virginia 22202

RESPONSE TO NOTICE
TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the November 8, 2001 Notice to File Missing Parts of Application, applicants submit herewith three (3) executed Declarations and Powers of Attorney, a copy of the Notice to File Missing Parts and a check in the amount of \$65.00.

Applicants also submit herewith twenty(20) sheets of formal drawings in compliance with 37 C.F.R. § 1.84 to replace the drawings originally filed in the above-identified patent application.

The Commissioner is hereby authorized to charge payment of any additional fees due in connection with the papers transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this response is enclosed.

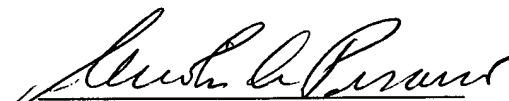
Respectfully submitted,

I Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231. on 12/20/01

MATT CARETTE
Name of Person Signing Certificate

Matt Carette
Signature of Person Signing Certificate

12/20/01
Date of Signature


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